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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Andrew FORAY et al.

Date: June 12, 2001

Serial No.: 09/603,515

Group Art Unit: --

Filed: June 23, 2000

Examiner:

For: ANONYMOUS TRADING SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
JUN 18 2001  
Technology Center 2100

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination of the above application, please amend the above-entitled patent application as follows.

FEE CALCULATION

Any additional fee required has been calculated as follows:

\_\_\_\_\_ If checked, "Small Entity" status is claimed.

NO. CLAIMS AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		EXTRA PRESENT		RATE		ADDIT. FEE
TOTAL	24	MINUS	24	* =	0	X	(\$9 SE or \$18)	\$ 0.00
INDEP.	5	MINUS	5	** =	0	X	(\$40 SE or \$80)	\$ 0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						X	(\$135 SE or \$270)	\$ 0.00
* not less than 20		** not less than 3		TOTAL \$				0.00

If any additional payment is required, a check which includes the calculated fee of \$ \_\_\_\_\_  
(OFGS Check No. \_\_\_\_\_) is attached.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

### CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

### AMENDMENTS

  X   If checked, amendment(s) to the specification and/or claims are submitted herewith.

1.        If checked, an abstract is submitted as the last page of Appendix A.

#### **2. Specification:**

Please delete the paragraphs beginning at page 6, line 16; page 7, line 5; page 7, line 14; page 7, line 27; page 8, line 12; page 8, line 17; page line 9, line 12; page 9, line 27; page 10, line 23; page 10, line 29; page 11, line 12; page 12, line 17; page 13, line 3; page 13, line 22; page 14, line 5; page 14, line 11; and page 14, line 26 and replace such paragraphs pursuant to 37 C.F.R. § 1.121(b)(ii) with the “clean” version attached hereto as Appendix A. Entry is respectfully requested. A version with markings to show the changes made pursuant to 37 C.F.R. § 1.121(b)(iii) is attached hereto as Appendix B.